

DERIVATIVES - USA

CFTC approves final rule offering alternative to fingerprinting foreign natural persons

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On March 28 2016 the US Commodity Futures Trading Commission (CFTC) approved a final rule that would allow a foreign natural person applying for CFTC registration to complete and submit a criminal history background check as an alternative to submitting fingerprints (for further details please see "[CFTC approves proposed rule offering alternative to fingerprinting](#)").

The rule codifies and supersedes the no-action relief previously given in CFTC Staff Letters 12-49 and 13-29. The rule will become effective 30 days after publication in the Federal Register.

The full text of the CFTC rule is available at:

www.cftc.gov/idc/groups/public/@newsroom/documents/file/federalregister032816.pdf.

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